

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

In re Application of: Andrew J. Ries et al.  
For: Small Format Connector Clip of an Implantable Medical Device  
Serial No.: 10/632,058  
Filed: July 31, 2003



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 12th day of January, 2005.

Sue McCoy  
Signature  
Sue McCoy  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Supplemental Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies cited references
- ☒ Return Postcard

**FEE CALCULATION**

- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider \_\_\_\_\_ this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

January 12, 2005  
Date

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Andrew J. Ries et al.

Serial No.: 10/632,058

Filed: July 31, 2003

Title: SMALL FORMAT CONNECTOR CLIP OF AN IMPLANTABLE MEDICAL  
DEVICE



Docket No.: P-11122.00

Group Art: 3762

Examiner:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

The enclosed patents are cited as potentially pertinent to examination of the above application. The attorney for applicants certifies according to CFR §1.97(e) that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to filing of the Statement.

Respectfully submitted,

ANDREW J. RIES ET AL

By: 

Michael C. Soldner  
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Customer No. 27581

|  |                                  |                       |
|--|----------------------------------|-----------------------|
| FORM PTO-1449<br><br>(Rev. 2-32)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>SUPPLEMENTAL INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use several sheets if necessary) | ATTY. DOCKET NO.:<br>P-11122.00  | SERIAL NO: 10/632,058 |
|  | APPLICANT: Andrew J. Ries et al. |                       |
|  | FILING DATE:<br>July 31, 2003    | GROUP: 3762           |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE<br>ISSUED | INVENTOR<br>NAME | U.S.<br>CLASS | U.S.<br>SUB-<br>CLASS | FILING<br>DATE IF<br>APPROPRIATE |
|---------------------|----|--------------------|----------------|------------------|---------------|-----------------------|----------------------------------|
|                     | AA | 5,413,595          | 05/09/95       | Stutz, Jr.       | 607           | 637                   |                                  |
|                     | AB | 5,275,620          | 01/04/94       | Darby et al.     | 607           | 1                     |                                  |
|                     |    |                    |                |                  |               |                       |                                  |
|                     |    |                    |                |                  |               |                       |                                  |
|                     |    |                    |                |                  |               |                       |                                  |
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|                     |    |                    |                |                  |               |                       |                                  |
|                     |    |                    |                |                  |               |                       |                                  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE<br>PUBLICATION | COUNTRY | INT.<br>CLASS | INT.<br>SUB-<br>CLASS | TRANSLATION |    |
|--|----|--------------------|---------------------|---------|---------------|-----------------------|-------------|----|
|  |    |                    |                     |         |               |                       | YES         | NO |
|  | AC | EP 0 590 756 A2    | 06.04.94            | EP      | A61N          | 1/375                 |             |    |
|  |    |                    |                     |         |               |                       |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.